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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT: O'Brien, et al.

§ ART UNIT: 1814

FILED: August 21, 1997

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§  
§

SERIAL NO.: 08/915,659

§ EXAMINER:  
§ Jacobson, D.

FOR: Novel Extracellular Serine Protease

§  
§ DOCKET: D6020

The Assistant Commissioner of Patents

BOX MISSING PARTS

Washington, DC 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8

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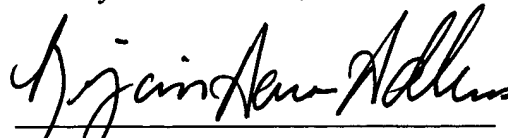
Dear Sir:

I hereby certify under 37 CFR 1.8 that the following correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to: BOX MISSING PARTS, Assistant Commissioner of Patents, Washington, DC 20231. Please return the enclosed postcard acknowledging receipt of this correspondence.

- 1) Response to Missing Parts and;
- 2) Response (2 pages);
- 3) Form PTO-1533;
- 4) Notice to Comply with Sequence Requirements;
- 5) Sequence Compliance Statement;
- 6) Sequence Listing (13 pages)
- 7) Computer disk with Sequence Listing; and
- 8) Check for \$65 and return postcard

Respectfully submitted,

Date: Jan. 28, 1998  
McGREGOR & ADLER, P.C.  
8011 Candle Lane  
Houston, Texas 77071  
(713) 777-2321

  
Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

O I P E  
FEB - 2 1998  
PATENT & TRADEMARK OFFICE

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>APPLICANT:</b> O'Brien, et al.	§	<b>ART UNIT:</b>
	§	1814
<b>FILED:</b> August 21, 1997	§	
	§	<b>EXAMINER:</b>
<b>SERIAL NO.:</b> 08/915,659	§	Jacobson, D.
	§	
<b>FOR:</b> Novel Extracellular Serine	§	
Protease	§	<b>DOCKET:</b> D6020

The Assistant Commissioner of Patents and Trademarks  
**BOX MISSING PARTS**  
Washington, DC 20231

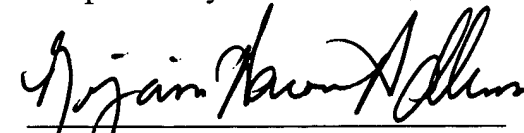
**RESPONSE TO MISSING PARTS**

Dear Sir:

In response to the Notice To File Missing Parts mailed on November 28, 1997, Applicants submit a Response to the Notice, a copy of Form PTO-1533 and a check for the surcharge of \$65. Also, enclosed are the Sequence Listing, the Computer Readable Form for the Sequence Listing in the above-referenced application, and the Compliance Letter concerning the aforementioned Sequence Listing along with a copy of the Notice to Comply with Requirements. Please credit any overpayment or debit any underpayment to Deposit Account 07-1185.

Respectfully submitted,

Date: Jan 28, 1998



Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

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Houston, Texas 77071  
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SERVICE CENTER



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/REPLY DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/915,659	08/21/97	O'BRIEN	T D6020

18M2/1128

BENJAMIN ADLER  
MCGREGOR & ADLER  
8011 CANDLE LANE  
HOUSTON TX 77071

JACOBSON, D

1814

DATE MAILED: 11/28/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 65.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
☐ large entity ☒ small entity (verified statement filed), is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
  - ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*M. G. Adams*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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